



2176

PATENT  
Docket No.: AMC-005CIB

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: Unassigned

Examiner: Unassigned

Serial No.: 10/072,238

Filed: February 5, 2002

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MAY 10 2002

In re Application of: Schrempp et al.

For: **METHOD AND APPARATUS FOR CACHE PROMOTION** Technology Center 2100

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail, in an envelope addressed to Director of Patents, Washington, D.C. 20231 on 5/3/02, Signed Stephanie Davis  
Stephanie Davis

TRANSMITTAL LETTER

Director of Patents  
Washington, D.C. 20231

Sir:

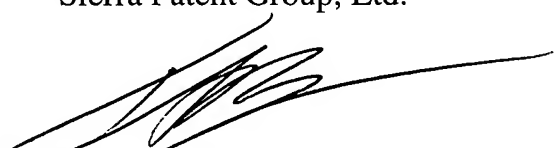
Enclosed please find the following:

1. Information Disclosure Statement;
2. Form PTO-1449
3. 14 References.

In the event any additional fee is required for filing the above-noted document, including any fees required under 37 CFR 1.136 for any necessary Extension of Time to make the filing of attached document timely, the Assistant Commissioner is hereby authorized to charge the fee to our Deposit Account No.: 50-0612. A duplicate copy of this page is enclosed.

Respectfully submitted,  
Sierra Patent Group, Ltd.

Dated: May 3, 2002

  
Timothy A. Brisson  
Reg. No. 44,046

Sierra Patent Group, Ltd.  
P.O. Box 6149  
Stateline, NV 89449  
(775) 586-9500



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**INFORMATION DISCLOSURE STATEMENT**

Director of Patents  
Washington, D.C. 20231

Dear Sir:

Each item of information listed in the attached FORM PTO-1449, for which a copy of each is attached, may be material to the examination of the above-identified application and is, therefore, submitted in compliance with the duty of disclosure defined in 37 CFR §§1.56, 1.97 and 1.98. The Examiner is requested to make these items of official record in this application.

This Information Disclosure Statement under 37 CFR §§1.56, 1.97 and 1.98 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one or more of these items constitutes prior art.

I

This statement is filed pursuant to:

- (x) 37 C.F.R. §1.97(b).

This information disclosure statement is filed either (1) within three months of the filing date of the national applications; (2) within three months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application; or (3) before the mailing date of a first office action on the merits, whichever event occurs last.

Accordingly, this information disclosure statement requires no fee and no certification.

- ( ) 37 C.F.R. §1.97(c).

This information disclosure statement is filed after the period specified in 37 C.F.R. §1.97(b), but before the mailing date of either (1) a final action under 37 C.F.R. §1.113 or (2) a notice of allowance under 37 C.F.R. §1.311.

Accordingly, this information disclosure statement requires either the fee specified in 37 C.F.R. §1.17 (p) for submission of an information disclosure statement under 37 C.F.R. §1.97(c) (\$180), or a certification according to 37 C.F.R. §1.97(e).

- ( ) 37 C.F.R. §1.97(d).

This information disclosure statement is filed after the period specified in 37 C.F.R. §1.97(c).

Accordingly, this information disclosure statement requires the petition fee specified in 37 C.F.R. §1.17(i) (1) to consider an information disclosure statement under 37 C.F.R. §1.97(d) (\$130), a certification according to 37 C.F.R. §1.97(e), and a petition requesting consideration of the information disclosure statement.

If this statement crosses in the mail with an office action, or is otherwise not in the indicated category of 37 C.F.R. §1.97, it is respectfully requested that this statement be treated in the next appropriate category and made of record. To the extent required, please treat this paper as a conditional petition for acceptance of the information disclosure statement.

II

- (X) No fee is due

- ( ) The fee specified in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under 37 C.F.R. §1.97(c) is enclosed (\$180).

- ( ) The petition fee specified in 37 C.F.R. §1.17(i) (1) to consider an information disclosure statement under 37 C.F.R. §1.97(d) is enclosed (\$130.00).

III

Pursuant to 37 C.F.R. §1.97(e), I certify:

- (X) No certification is necessary.
- ( ) (1) Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement.
- ( ) (2) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c), more than three months prior to the filing of the statement.

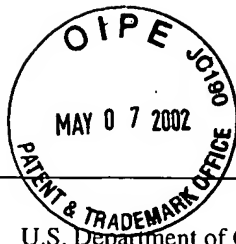
Respectfully submitted,  
SIERRA PATENT GROUP, LTD.

Dated: May 3, 2002



Timothy A. Brisson  
Reg. No.: 44,046

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Form PTO 1449  
(Rev. 2-32)U.S. Department of Commerce  
Patent and Trademark OfficeAtty. Docket No.  
AMC-0075CIBSerial No.  
10/072,238**RECEIVED**

MAY 10 2002

## Information Disclosure Statement by Applicant

Applicant: Schrempp et al.

Technology Center 2100

(Use several sheets if necessary)

Filed: February 5, 2002 Group: Unassigned

## U.S. Patent Documents

Init.		Document No.	Date	Name	Class	Subclass	Filing Date
	1	4,677,455	06/30/1987	Okajima	357	38	07/01/1986
	2	4,739,398	04/19/1988	Thomas et al.	358	84	05/02/1986
	3	4,843,562	06/27/1989	Kenyon et al.	364	487	06/24/1987
	4	4,918,730	04/17/1990	Schulze	381	43	06/24/1988
	5	5,283,819	02/01/1994	Glick et al.	379	90	04/25/1991
	6	5,918,223	06/29/1999	Blum et al.	707	1	07/21/1997
	7	6,044,402	03/28/2000	Jacobson et al.	709	225	07/02/1997

## Foreign Documents

Translation

Init.		Document No.	Date	Country	Class	Subclass	Yes	No

## Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)

8	<input checked="" type="checkbox"/>	L. Baum et al., "A Maximization Technique Occurring in the Statistical Analysis of Probabilistic Functions of Markov Chains, <u>The Annals of Mathematical Statistics</u> , Vol. 41, No. 1 pp. 164-171, 1970 (no month)
9	<input checked="" type="checkbox"/>	A. P. Dempster et al. "Maximum Likelihood from Incomplete Data via the EM Algorithm", <u>Journal of the Royal Statistical Society, Series B (Methodological)</u> , Vol. 39, Issue 1, pp. 1-38, 1977 (no month).
10	<input checked="" type="checkbox"/>	D. Reynolds et al., "Robust Text-Independent Speaker Identification Using Gaussian Mixture Speaker Models", <u>IEEE Transactions on Speech and Audio Processing</u> , Vol. 3, No. 1, pp. 72-83, January 1995.
11	<input checked="" type="checkbox"/>	B. Pellom et al., "Fast Likelihood Computation Techniques in Nearest-Neighbor Based search for Continuous Speech Recognition", <u>IEEE Signal Processing Letters</u> , Vol. 8, No. * pp. 221-224, August 2001.
12	<input checked="" type="checkbox"/>	J. Haitsma et al., "Robust Audio hashing for Content Identification", <u>CBMI 2001, Second International Workshop on Content Based Multimedia and Indexing</u> , September 19-21, 2001, Brescia, Italy., September 19-21, 2001.
13	<input checked="" type="checkbox"/>	PacketHound Tech Specs, <a href="http://www.palisadesys.com/products/packethound/tech_specs/prod_Phtechspecs.shtml">www.palisadesys.com/products/packethound/tech_specs/prod_Phtechspecs.shtml</a> , 2002 (no month).
14	<input checked="" type="checkbox"/>	"How Does PacketHound Work?", <a href="http://www.palisadesys.com/products/packethound/how_does_it_work/prod_Phhow.shtml">www.palisadesys.com/products/packethound/how_does_it_work/prod_Phhow.shtml</a> , 2002 (no month)

Examiner

Date Considered